

10/08/03

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Form for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. <b>F52.12-0001</b>	
First Inventor or Application Identifier <b>Jeffrey John Sholl et al.</b>	
Title	<b>SYSTEM AND METHOD FOR IDENTIFYING A FOOD EVENT, TRACKING THE FOOD PRODUCT, AND ASSESSING RISKS AND COSTS ASSOCIATED WITH INTERVENTION</b>
Express Mail Label No. <b>EV178023024US</b>	

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

**Address To:**  
Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

- 22581 U.S. PTO  
10/681581
- 1. ☐ \*Fee Transmittal Form e.g., PTO/SB17)  
(Submit an original and a duplicate for fee processing)
  - 2. ☒ Applicant Claims small entity status
  - 3. ☒ Specification [Total Sheets 44]  
(preferred arrangement set forth below)
    - Descriptive title of the Invention)
    - Cross References to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to Microfiche Appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  - 4. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 31]
  - 5. Oath or Declaration [Total Sheets   ]
    - a. ☐ Newly executed (original or copy)
    - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)
      - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).
  - 6. ☒ Application Data Sheet. See 37 CFR 1.76

- 7. ☐ CD-ROM or CD-R in duplicate, large table or Computer program (Appendix)
- 8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 Copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

- ACCOMPANYING APPLICATION PARTS**
- 9. ☐ Assignment Papers (cover sheet & document(s))
  - 10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
  - 11. ☐ English Translation Document (if applicable)
  - 12. ☐ Information Disclosure Statement (IDS)/PTO – 1449 ☐ Copies of IDS Citations
  - 13. ☐ Preliminary Amendment
  - 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
  - 15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
  - 16. ☐ Nonpublication Request Under 35 USC 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
  - 17. ☐ Other: Credit Card Payment Form (PTO-2038)

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation    ☐ Divisional    ☐ Continuation –in part (CIP)

of prior application No:            /

Prior application information: Examiner            Group/Art Unit:           

**FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE**

☒ Customer Number or Bar Code Label

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(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Signature			Date 10/8/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor : Jeffrey John Sholl et al.	
Appln. No.:	
Filed :	Group Art Unit:
For : SYSTEM AND METHOD FOR IDENTIFYING A FOOD EVENT, TRACKING THE FOOD PRODUCT, AND ASSESSING RISKS AND COSTS ASSOCIATED WITH INTERVENTION	Examiner:
Docket No.: F52.12-0001	

EXPRESS MAIL COVER SHEET

SENT VIA EXPRESS MAIL  
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Sir:

The following papers are being transmitted via **EXPRESS MAIL** to the U.S. Patent and Trademark Office on the date shown below:

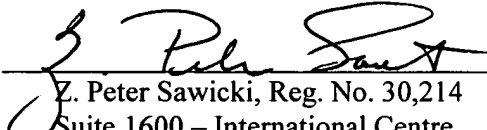
1. Utility Patent Application Transmittal
2. Patent Application comprising the following pages;  
1 Abstract 41 Specification 2 Claims 31 Drawings
3. Application Data Sheet

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

Date: October 8, 2003

By:

  
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